

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re : Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (JMP)
Debtors. : (Jointly Administered)

:
-----x Ref. Docket Nos. 40780-40787,
40814-40822, 40834, 40836-40841,
40900, 40920, 40922, 40924, 40926-
40928, 40951

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
 2. On November 4, 2013, I caused to be served the “Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4),” a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
 3. All envelopes utilized in the service of the foregoing contained the following legend: “LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

Sworn to before me this
8th day of November, 2013

/s/ Lauren Rodriguez
Lauren Rodriguez

/s/ Cassandra Murray
Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: SCHMITZ FAMILY LIMITED PARTNERSHIP
THOMAS L. SCHMITZ, GENERAL PARTNER
16 HAMPTON LANE
BLUFFTON SC 29910

Please note that your claim # 9756 in the above referenced case and in the amount of
\$125,000.00 allowed at \$113,305.43 has been transferred (unless previously expunged by court order)

TANNOR PARTNERS CREDIT FUND, LP
TRANSFEROR: SCHMITZ FAMILY LIMITED PARTNERSHIP
150 GRAND STREET, STE 401
WHITE PLAINS NY 10601

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 40927 in your objection.
If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/04/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 4, 2013.

EXHIBIT B

Name	Address
ALDEN GLOBAL HEDGED OPPORTUNITIES FUND LP	MASTER TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL HEDGED OPPORTUNITIES FUND LP	MASTER TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL, ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP	MASTER TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL; ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP	MASTER TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL; ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: LEHMAN BROTHERS BANKHAUS AG (IN INSOLVENZ) ATTN: MICHAEL SUTTON/PHILLIP ROEVER WINCHESTER HOUSE, 1 GREAT WINCHESTER ST LONDON EC2N 2DB UNITED KINGDOM
JPMORGAN CHASE BANK, NA	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) C/O J.P. MORGAN SECURITIES LLC ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE - FLOOR 37 NEW YORK NY 10179
PERMAL STONE LION FUND LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) ATTN: CLAUDIA BORG 461 FIFTH AVENUE 14TH FLOOR NEW YORK NY 10017
RIVERROCK SECURITIES LIMITED	TRANSFEROR: STICHTING THE IAMEX VALUE FOUNDATION ATTN: GUI GOYARD 8-10 GROSVENOR GARDENS LONDON SW1W ODH UNITED KINGDOM
RIVERROCK SECURITIES LIMITED	TRANSFEROR: STICHTING THE IAMEX VALUE FOUNDATION ATTN: GUI GOYARD 8-10 GROSVENOR GARDENS LONDON SW1W ODH UNITED KINGDOM
RIVERROCK SECURITIES LIMITED	TRANSFEROR: STICHTING THE IAMEX VALUE FOUNDATION ATTN: MR. GUI GOYARD 8 - 10 GROSVENOR GARDENS LONDON SW1W ODH UNITED KINGDOM
SCHMITZ FAMILY LIMITED PARTNERSHIP	TRANSFEROR: STICHTING THE IAMEX VALUE FOUNDATION ATTN: MR. GUI GOYARD 8 - 10 GROSVENOR GARDENS LONDON SW1W ODH UNITED KINGDOM THOMAS L. SCHMITZ, GENERAL PARTNER 16 HAMPTON LANE BLUFFTON SC 29910
SCHMITZ, THOMAS L. & SANDRA E.	16 HAMPTON LANE BLUFFTON SC 29910
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: ARKESTEIJN, C.L.M. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: BARLUK B.V. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: BEERS HOLDING B.V. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: D'HAVERKAMP BV ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: HOLWERDA, W.P. EN/OF A. HOLWERDA-DEVRIES ATTN: J.J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: HUizinga, Th. / M. HUizinga-Pot KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: LAMBERS-KALLE, A. HILLE RIS ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: MULDER, J. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: POLLERMAN DEKKER, I.M. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: STICHTING WELZIJSZORG VAN MAREN FONDS ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STONE LION PORTFOLIO LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) ATTN: CLAUDIA BORG 461 FIFTH AVENUE, 14TH FLOOR NEW YORK NY 10017
TANNER PARTNERS CREDIT FUND, LP	TRANSFEROR: SCHMITZ FAMILY LIMITED PARTNERSHIP 150 GRAND STREET, STE 401 WHITE PLAINS NY 10601
TANNER PARTNERS CREDIT FUND, LP	TRANSFEROR: SCHMITZ, THOMAS L. & SANDRA E. 150 GRAND STREET, STE 401 WHITE PLAINS NY 10601
TURNPike LIMITED	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
TURNPike LIMITED	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL; ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE NEW YORK NY 10022
TURNPike LIMITED	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL; ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE NEW YORK NY 10022